

Fictional Sample: Scope and Exclusions Page

Prepared for: Atlas Row Software, Inc. | Fictional organization

Fictional sample notice

This sample is fictional and illustrative. It does not represent a client deliverable, validated control environment, audit finding, legal conclusion, certification outcome, or assurance over operating effectiveness.

Purpose

This page illustrates the boundary language ESNTL uses for baseline governance documentation engagements. It is intentionally written as a scope-control artifact, not a technical work plan.

Included documentation scope

| Area | Included sample scope |
|---|--|
| Current-state documentation | Structured documentation based on organization-stated practices, existing materials, and intake responses. |
| Security governance policy set | Core policies, summaries, registers, and evidence-index style artifacts. |
| AI-use documentation | Inventory-style documentation for approved AI tools, stated use cases, restrictions, review expectations, and ownership. |
| Vendor and cloud dependency documentation | Register-style documentation of key SaaS, cloud, vendor, and third-party access dependencies. |
| Customer review support | Evidence index and documentation language that can support buyer, vendor, procurement, and diligence reviews. |

Explicit exclusions

| Excluded area | Boundary language |
|----------------------------------|--|
| Implementation or remediation | ESNTL does not configure tools, implement controls, operate security processes, or direct remediation activity. |
| Technical validation | ESNTL does not test, validate, scan, audit, or verify the operating effectiveness of stated controls. |
| Certification or audit readiness | ESNTL does not provide certification readiness, audit support, assessor services, attestation, or assurance. |
| Legal interpretation | ESNTL does not provide legal advice, contract interpretation, regulatory conclusions, or breach notification legal analysis. |
| Live questionnaire authorization | ESNTL does not complete live customer questionnaires as the client authorized representative. |
| Incident response | Active breach response, forensic analysis, containment, evidence handling, and notification advice are outside scope. |

Required limitation

This document reflects client-stated current practices provided for this engagement. It does not constitute validation of implementation, operating effectiveness, legal interpretation, remediation guidance, certification status, or future-state commitment.